



JC02 Rec'd PCT/PTO 17 MAY 2002

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PATENT

Attorney Docket No.: SI01-012

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Aigner  
Helmut Albrecht  
Gerhard Heise  
Wolfgang Schweiker

Serial No: 10/031,916

Group Art Unit: TBA

Filed: 1/19/02

Examiner: TBA

For: OPTICAL COUPLING  
DEVICE

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTN: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements dated April 9, 2002, Applicant submits a Declaration for filing in the above-identified application, the required surcharge of \$130.00, and a copy of the Notification of Missing Requirements.

Please associate the enclosed Declaration with the above-identified application.

Authorization is given to charge the above-noted fees to Deposit Account No. 03-3325. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our deposit account.

05/24/2002 GFREY1 00000119 033325 10031916

01 FC:154 130.00 CH

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, DC 20231 on 9 May 2002

Date of Deposit

Walter M. Douglas  
Walter M. Douglas Signature

Respectfully submitted,

Walter M. Douglas  
Walter M. Douglas

Registration No.: 34,510

Phone: (607) 974-2431

Date: 9 May 2002



Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,916	Robert Aigner	S101-012

Walter M Douglas  
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SP-TI-03  
Corning, NY 14831

Received  
APR 15 2002  
Patent Dept.

INTERNATIONAL APPLICATION NO.	
PCT/DE00/02395	
I.A. FILING DATE	PRIORITY DATE
07/21/2000	07/21/1999

**CONFIRMATION NO. 1636**  
**371 FORMALITIES LETTER**



\*OC000000007821679\*

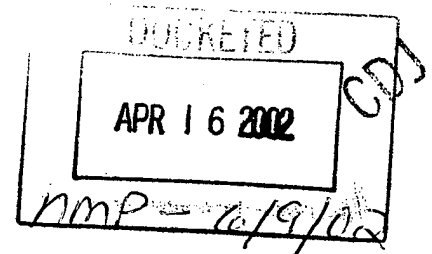
Date Mailed: 04/09/2002

*NMP Due: 6/9/02*

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Oath or Declaration
- Preliminary Amendments
- Substitute Specification



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- The oath of declaration does not comply with 37 CFR 1.63 in that it:
  - does not identify the mailing address of each inventor. If the residence is different from the mailing address, then the city and state or city and foreign country of residence of each inventor must also be given.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 305-3688

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,916	PCT/DE00/02395	S101-012